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**Collection:** deGraffenreid, Kenneth E: Files

**Folder Title:** Technology Transfer -  
Miscellaneous (04/01/1981-08/23/1981)

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# WITHDRAWAL SHEET

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**Collection Name** DEGRAFFENREID, KENNETH: FILES

**Withdrawer**

LOJ 8/30/2016

**File Folder** TECHNOLOGY TRANSFER-MISCELLANEOUS  
(04/01/1981-08/23/1981)

**FOIA**

F02-0083/01

**Box Number** 28

PRADOS

2814

ID	Doc Type	Document Description	No of Pages	Doc Date	Restrictions
179851	FOLDER	REPORTS AND CABLE	4	7/22/1981	B1

The above documents were not referred for declassification review at time of processing

Freedom of Information Act - [5 U.S.C. 552(b)]

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C. Closed in accordance with restrictions contained in donor's deed of gift.

NATIONAL SECURITY COUNCIL  
WASHINGTON, D.C. 20506

May 21, 1981

Je Grallenzend;

File: Technology  
Transfer

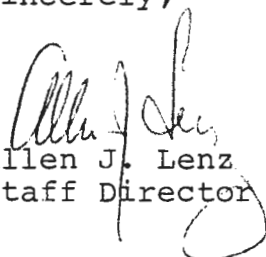
Callig

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Sincerely,

  
Allen J. Lenz  
Staff Director

The Honorable William V. Roth, Jr.  
Chairman of the  
Senate Permanent Subcommittee on Investigations  
Committee on Governmental Affairs  
U. S. Senate  
Washington, D. C. 20510

2

NATIONAL SECURITY COUNCIL  
WASHINGTON, D.C. 20506

May 21, 1981

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Allen J. Lenz  
Staff Director

The Honorable Sam Nunn  
Ranking Minority Member  
Senate Permanent Subcommittee on Investigations  
Committee on Governmental Affairs  
U. S. Senate  
Washington, D. C. 20510

## MEMORANDUM

## NATIONAL SECURITY COUNCIL

May 21, 1981

ACTION

MEMORANDUM FOR ALLEN J. LENZ

FROM: KENNETH DEGRAFFENREID *KD*

SUBJECT: Response to Letter from Senators Roth and Nunn  
Requesting a Copy of an NSC Study

In a letter dated May 13, 1981, the Senators, who head the Senate Permanent Investigations Subcommittee, requested a copy of a report prepared for the NSC Ad Hoc Committee on Technology Transfer (Tab II). Because this is an NSC document the response, which informs them of Administration concern over the issues of technology diversion, denies them a copy but offers them a briefing. If you sign the response I will inform the Congressional staff member concerned.

*(prior by phone)* *Bob*  
Ben Huberman and Bob Kimmitt concur.

RECOMMENDATION

That you sign the letter to Senators Roth and Nunn at Tab I.

Agree ✓

Disagree \_\_\_\_\_

## Attachments

Tab I Letter to Roth and Nunn  
Tab II Letter from Senators Roth and Nunn, dated  
May 15, 1981

cc: B. Huberman  
R. Kimmitt

2892

18 MAY 1981

4

WILLIAM V. ROTH, JR., DEL., CHAIRMAN  
CHARLES H. PERCY, ILL.  
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S. CASS WEILAND  
CHIEF COUNSEL  
MICHAEL C. ESERHARDT  
DEPUTY CHIEF COUNSEL  
MARTY STEINBERG  
CHIEF COUNSEL TO THE MINORITY

## United States Senate

COMMITTEE ON  
GOVERNMENTAL AFFAIRS  
SENATE PERMANENT SUBCOMMITTEE  
ON INVESTIGATIONS  
WASHINGTON, D.C. 20510

May 13, 1981

The Honorable Richard V. Allen  
Assistant to the President  
for National Security Affairs  
The White House  
Washington, D. C. 20500

Dear Mr. Allen:

The Senate Permanent Subcommittee on Investigations is attempting to determine how extensive and significant are the transfers of U. S. technological, industrial and commercial information to the Soviet Bloc. Many of these transfers, even those obtained by clandestine means, are not illegal under our espionage laws or other federal statutes.

A recognition of the need to modernize the Federal Espionage statute to include industrial, technological or economical espionage was cited in 1976 by former Attorney General Edward Levi. In a March 23 letter to the Senate Judiciary Committee, he said:

"The phrase 'clandestine intelligence activities, sabotage, or terrorist activities' is meant to encompass those type of activities by a foreign power or its agent that the Federal government must be capable of discovering, particularly when they occur within the United States. While the most common activities that would come within the scope of this phrase would constitute violations of the Federal criminal law, there is a certain limited area that would not. For example, the clandestine collection of information by an agent of a foreign power concerning important industrial processes essential to the national security, e.g. computer technology, would not in most cases violate any Federal statute." (Underscoring supplied.)

5

The Honorable Richard V. Allen  
May 13, 1981  
Page 2

In light of recent U. S. policies toward the Soviets, it is now appropriate to reevaluate our counterintelligence program against Soviet Bloc agents. Therefore, we have directed the Subcommittee staff to begin a preliminary inquiry into this problem.

During this preliminary inquiry, the Subcommittee will also be looking into the effectiveness of our foreign counterintelligence capability to intercept clandestine transfers or attempted transfers of classified data to the Soviets which are illegal under our laws. In addition, the Subcommittee will look into our existing export controls of dual-use technologies and goods to the Soviet Bloc administered by the Commerce and State Departments.

On February 20, 1980, Under Secretary of Defense William Perry testified before this Subcommittee that the Soviets have a "large, systematic, well-organized and effective" operation to acquire illegally our technology. Dr. Perry said Soviet clandestine activity has been "extensive" and involves the theft of classified as well as controlled dual-use technologies and goods.

The Subcommittee is gravely concerned about the nature and scope of this activity and the ability of the Government to enforce our laws controlling the transfer of our sensitive technologies and goods. In this regard, the Subcommittee has learned that an interagency task force on export control enforcement made a report to the National Security Council's Ad Hoc Committee on Technology Transfer in mid-1980. This report would be of great assistance to our ongoing inquiry. Accordingly, it is requested that the NSC furnish a copy of the report to the Subcommittee.

If there are any questions, please have your staff contact Mr. L. J. Duffy, consultant to the minority of the Subcommittee.

Sincerely,



Sam Nunn  
Ranking Minority Member

William V. Roth, Jr.  
Chairman

NSC/S PROFILE

UNCLASSIFIED

ID 8102892

RECEIVED 20 MAY 81 12

TO ALLEN

FROM NUNN, SAM

DOCDATE 13 MAY 81

ROTH, WILLIAM V

13 MAY 81

KEYWORDS: INTELLIGENCE

. USSR

LEVI, EDWARD

TECHNOLOGY TRANSFERS

EXPORT CONTROLS

PERRY, WILLIAM

INTERNAL SECURITY

LEGAL ISSUES

SUBJECT: SENATE PERMANENT SUBCOM ON INVESTIGATIONS RE MODERNIZATION OF FEDERAL  
ESPIONAGE STATUTE

ACTION: PREPARE REPLY FOR ALLEN SIG DUE: 20 MAY 81 STATUS S FILES

FOR ACTION

FOR COMMENT

FOR INFO

GREGG

BERTA

DEGRAFFENREID

JENNINGS

PIPES

STEARMAN

HUBERMAN

SCHWEITZER

LENZ

BAILEY

NAU

KIMMITT

COMMENTS \*\*\* 24 HOUR TURNAROUND \*\*\*

REF#

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NSC IF ID

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ACTION OFFICER (S) ASSIGNED ACTION REQUIRED DUE COPIES TO


DISPATCH

W/ATTCH FILE (C)

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18 MAY 1981

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## United States Senate

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SENATE PERMANENT SUBCOMMITTEE  
ON INVESTIGATIONS  
WASHINGTON, D.C. 20510

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Assistant to the President  
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The White House  
Washington, D. C. 20500

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If there are any questions, please have your staff contact Mr. L. J. Duffy, consultant to the minority of the Subcommittee.

Sincerely,



Sam Nunn  
Ranking Minority Member

William V. Roth, Jr.  
Chairman

Khan 9  
212 - Congress

NATIONAL SECURITY COUNCIL  
WASHINGTON, D.C. 20506

May 21  
~~March 30~~, 1981

Dear Mr. Chairman and Senator Nunn.

This is in response to your letter of <sup>May 13</sup> ~~March 23~~, 1981, to Richard V. Allen, in which you asked to review an interagency study on export control enforcement.

Because this study is a sensitive document reflecting high-level policy deliberation, it has been our practice not to release copies outside the Executive Branch. However, because of your interest in it, we are prepared to brief you and members of your Subcommittee on relevant portions of the study and answer any questions you may have. You may contact me at 395-3044 to arrange for a briefing, <sup>or your staff</sup>

~~and because we support your efforts~~  
~~in this~~  
~~regional~~  
~~area~~

Sincerely,

at your  
convenience.

*Allen J. Lenz*  
Allen J. Lenz  
Staff Director

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*William V. Roth, Jr.*  
The Honorable Jonathan B. Bingham  
Chairman  
Subcommittee on International  
Economic Policy and Trade  
Committee on Foreign Affairs  
U. S. House of Representatives  
Washington, D. C. 20510

*Senate Permanent Subcommittee on Investigation Committee on Governmental Affairs*

*The Honorable Sam Nunn  
Ranking Minority Member*

## NATIONAL SECURITY COUNCIL

May 21, 1981

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*(said by phone)* *for*  
Ben Huberman and Bob Kimmitt concur.

RECOMMENDATION

That you sign the letter to Senators Roth and Nunn at Tab I.

Agree \_\_\_\_\_

Disagree \_\_\_\_\_

## Attachments

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Tab II Letter from Senators Roth and Nunn, dated  
May 15, 1981

cc: B. Huberman  
R. Kimmitt

//

I

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WASHINGTON, D.C. 20506

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18 MAY 1981

14A

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William V. Roth, Jr.  
Chairman

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Ronald Reagan Library

*Collection Name*

DEGRAFFENREID, KENNETH: FILES

*Withdrawer*

LOJ 8/30/2016

*File Folder*

TECHNOLOGY TRANSFER-MISCELLANEOUS (04/01/1981-08/23/1981)

*FOIA*

F02-0083/01  
PRADOS

*Box Number*

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<i>ID</i>	<i>Document Type</i> <i>Document Description</i>	<i>No of</i> <i>pages</i>	<i>Doc Date</i>	<i>Restric-</i> <i>tions</i>
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C. Closed in accordance with restrictions contained in donor's deed of gift.

RECEIVED 22 MAY 81 16

TO

PRES

FROM

DOCDATE

*De Goffened* *Tschxfer*

KEYWORDS:

SUBJECT:

ACTION: FOR RECORD PURPOSES

DUE:

STATUS C FILES

FOR ACTION

FOR COMMENT

FOR INFO

NONE

SCHWEITZER

LENZ

BERTA

DEGRAFFENREID

COMMENTS

REF#

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ID 8102947

RECEIVED 22 MAY 81 16

TO PRES

FROM HELMS, JESSE

DOCDATE 20 MAY 81

DARMAN, R

21 MAY 81

KEYWORDS: USSR

OIL

TECHNOLOGY TRANSFERS

EXPORT CONTROLS

CO

SUBJECT: URGES PROPOSED SALE OF 100 PIPELAYERS TO SOVIET UNION NOT BE APPROVED

ACTION: FOR RECORD PURPOSES

DUE:

STATUS C

FILES WH

FOR ACTION

FOR COMMENT

FOR INFO

NONE

PIPES

STEARMAN

NAU

BAILEY

HUBERMAN

COMMENTS

REF#

LOG

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( D / D )

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ASSIGNED

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file

JANET COLSON

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BUD NANCE

DICK ALLEN

IRENE DERUS

JANET COLSON

BUD NANCE

KAY

CY TO VP

CY TO MEESE

CY TO BAKER

CY TO DEEVER

CY TO BRADY

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Document No. \_\_\_\_\_

THE WHITE HOUSE  
WASHINGTON

## STAFFING MEMORANDUM

DATE: 5/21/81 ACTION/CONCURRENCE/COMMENT DUE BY: \_\_\_\_\_SUBJECT: LETTER FROM JESSE HELMS RE SALE OF PIPELAYERS TO SOVIET UNION

	ACTION	<u>FYI</u>		ACTION	FYI
VICE PRESIDENT	<input type="checkbox"/>	<input type="checkbox"/>	JAMES	<input type="checkbox"/>	<input type="checkbox"/>
MEESE	<input type="checkbox"/>	<input type="checkbox"/>	MURPHY	<input type="checkbox"/>	<input type="checkbox"/>
BAKER	<input type="checkbox"/>	<input type="checkbox"/>	NOFZIGER	<input type="checkbox"/>	<input type="checkbox"/>
DEAVER	<input type="checkbox"/>	<input type="checkbox"/>	WEIDENBAUM	<input type="checkbox"/>	<input type="checkbox"/>
STOCKMAN	<input type="checkbox"/>	<input type="checkbox"/>	CANZERI	<input type="checkbox"/>	<input type="checkbox"/>
ALLEN	<input type="checkbox"/>	<input checked="" type="checkbox"/>	FULLER ( <i>For Cabinet</i> )	<input type="checkbox"/>	<input type="checkbox"/>
ANDERSON	<input type="checkbox"/>	<input type="checkbox"/>	HICKEY	<input type="checkbox"/>	<input type="checkbox"/>
BRADY	<input type="checkbox"/>	<input type="checkbox"/>	HODSOLL	<input type="checkbox"/>	<input type="checkbox"/>
DOLE	<input type="checkbox"/>	<input type="checkbox"/>	MC COY	<input type="checkbox"/>	<input type="checkbox"/>
FIELDING	<input type="checkbox"/>	<input type="checkbox"/>	WILLIAMSON	<input type="checkbox"/>	<input type="checkbox"/>
FRIEDERSDORF	<input checked="" type="checkbox"/>	<input type="checkbox"/>	_____	<input type="checkbox"/>	<input type="checkbox"/>
GARRICK	<input type="checkbox"/>	<input type="checkbox"/>	_____	<input type="checkbox"/>	<input type="checkbox"/>
GERGEN	<input type="checkbox"/>	<input type="checkbox"/>	_____	<input type="checkbox"/>	<input type="checkbox"/>
HARPER	<input type="checkbox"/>	<input type="checkbox"/>	_____	<input type="checkbox"/>	<input type="checkbox"/>

Remarks: Please handle appropriately. (This was given to us by Mike Deaver.)

Richard G. Darman  
Deputy Assistant to the President  
and Staff Secretary  
(x-2702)

JESSE HELMS  
NORTH CAROLINA

United States Senate

WASHINGTON, D.C. 20510

May 10, 1981

The President  
The White House  
Washington, D.C. 20500

Dear Mr. President:

I urge that the proposed sale of some 100 pipelayers to the Soviet Union not be approved by your Administration. I have learned that the Department of State is in the process of recommending such a sale, which could have serious national security implications.

While all of us desire that U.S. industry prosper in its sales to foreign nations, this sale is inappropriate, given the continuing Soviet aggression in many parts of the world, directly challenging U.S. and Western interests on a broad front; and because of national security considerations.

I have an especial concern that these pipelayers -- made, I am told, by the Caterpillar Company -- may be used by the Soviets to build additional pipelines into Afghanistan in support of the Soviet invasion of that country; or, more ominously, to construct an underground command, control and communications network for Soviet military use. Such a network could render ineffective our satellite and other intercepts of Soviet military communications, and thus make more unstable the already delicate ability of the United States to monitor and verify Soviet military activities.

I urge your personal consideration of this matter and its obvious implications.

Sincerely,

JESSE HELMS

RECEIVED 17 JUN 81 15

TO ALLEN

FROM ELLINGWOOD, H

DOCDATE 15 JUN 81

BERLIN, F BRETT

*DeGraffenreid*

KEYWORDS: TECHNOLOGY TRANSFERS

FRANCE

SUBJECT: REQUEST FM CRAY RESEARCH INC TO SELL S SERIES OF COMPUTERS TO FRANCE

ACTION: PREPARE MEMO FOR ALLEN

DUE: 22 JUN 81 STATUS S FILES

FOR ACTION

FOR COMMENT

FOR INFO

HUBERMAN

SCHWEITZER

LENZ

BERTA

STEARMAN

RENTSCHLER

DEGRAFFENREID

COMMENTS

REF#

LOG

NSCIFID

( D / )

ACTION OFFICER (S)

ASSIGNED

ACTION REQUIRED

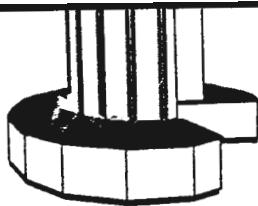
DUE

COPIES TO

DISPATCH

W/ATTCH FILE (C)

1919 Pennsylvania Avenue  
Suite 300  
Washington, D. C. 20006  
202/775-0155



THE WHITE HOUSE  
WASHINGTON

F. BRETT BERLIN  
Government Relations Director

June 15, 1981

3610

MEMORANDUM FOR RICHARD V. ALLEN

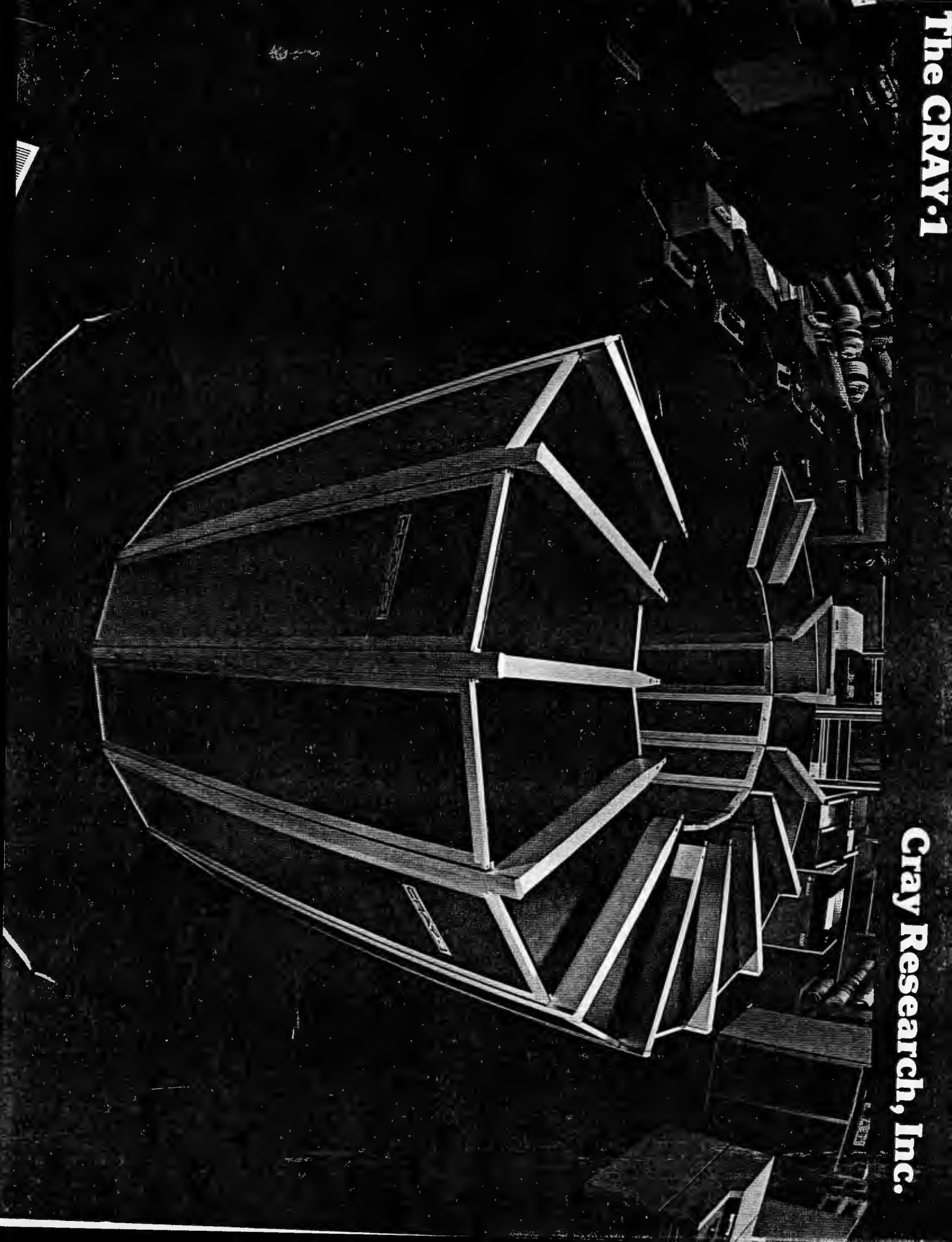
FROM: HERBERT E. ELLINGWOOD *HEE*

CRAY would like to sell to France, but also wants to be a team player with us. These computers are used in nuclear and other very advanced technology. The Carter administration was quite reluctant to agree with sales to France. Is there someone on your staff or elsewhere to whom they should talk?

ONE COPY OF THIS MEMO IS

**The CRAY-1**

**Cray Research, Inc.**





Corporate Headquarters

1440 Northland Drive  
Mendota Heights, MN 55120  
Tel: (612) 452-6650  
TLX: 298444

*Teah x-fer*

MEMORANDUM

#4828

## NATIONAL SECURITY COUNCIL

August 12, 1981

ACTION

MEMORANDUM FOR ALLEN J. LENZ

FROM: ROBERT M. KIMMITT *Bob*

SUBJECT: Document Request from Senator Nunn

Senator Sam Nunn has requested copies of various documents on technology transfers (Tab II).

At Tab I for your signature is our standard opening reply to inquiries concerning these documents.

RECOMMENDATION:

That you sign the letter to Senator Nunn at Tab I.

Approve \_\_\_\_\_

Disapprove \_\_\_\_\_

*On leave* *Kag/RMK* ✓  
Gus Weiss, Ken de Graffenreid, and Bill Stearman concur.

## Attachments

Tab I - Proposed response  
Tab II- Incoming correspondence



NATIONAL SECURITY COUNCIL  
WASHINGTON, D.C. 20506

4828

Dear Senator Nunn:

This is in response to your letter of August 10, 1981, to Richard V. Allen, in which you request copies of documents on technology transfers.

Because these are sensitive documents reflecting high-level policy deliberations, it is our practice not to release them outside the Executive Branch. However, because of your interest in them, we are prepared to brief you and other members of the Subcommittee and its staff on relevant portions of the documents, and to answer any questions you may have.

You or your staff may contact me at 395-3044 to arrange for a briefing at your convenience.

Sincerely,

Allen J. Lenz  
Staff Director

The Honorable Sam Nunn  
Ranking Minority Member  
Senate Permanent Subcommittee  
on Investigations  
Committee on Governmental Affairs  
United States Senate  
Washington, D. C. 20510

T  
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B

II

AUG 1 1981 34

4828

WILLIAM V. ROTH, JR., DEL., CHAIRMAN  
 CHARLES H. PERCY, ILL.  
 TED STEVENS, ALASKA  
 CHARLES MC C. MATHIAS, JR., MD.  
 JOHN C. DANFORTH, MO.  
 WILLIAM S. COHEN, MAINE  
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 WARREN B. RUDMAN, N.H.

THOMAS F. EAGLETON, MO.  
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 DAVID PRYOR, ARK.  
 CARL LEVIN, MICH.

JOAN M. MC ENTEE, STAFF DIRECTOR

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S. CASS WEILAND  
 CHIEF COUNSEL

MICHAEL C. EBERHARDT  
 DEPUTY CHIEF COUNSEL

MARTY STEINBERG  
 CHIEF COUNSEL TO THE MINORITY

# United States Senate

COMMITTEE ON  
 GOVERNMENTAL AFFAIRS

SENATE PERMANENT SUBCOMMITTEE  
 ON INVESTIGATIONS

WASHINGTON, D.C. 20510

August 10, 1981

SENSITIVE

The Honorable Richard V. Allen  
 Assistant to the President  
 for National Security Affairs  
 National Security Council  
 Old Executive Office Building  
 Washington, D. C. 20506

Dear Mr. Allen:

The Permanent Subcommittee on Investigations is presently conducting an investigation concerning the transfer of technology from the United States to the Soviet Union and Warsaw Pact nations. Staff members of the Subcommittee have been contacting individuals from various executive branch agencies regarding this matter.

It has come to the attention of the Subcommittee that the National Security Council has in its possession three documents: the Huberman Report, the Keuch Report, and Presidential Review Memo 31.

The Permanent Subcommittee requests that the National Security Council provide the Subcommittee with the above reports and any pertinent supporting documentation. Your cooperation in this matter is appreciated. Any inquiry regarding this request may be addressed to Glenn Fry, Staff Investigator, or Marty Steinberg, Chief Counsel to the Minority.

Sincerely,



Sam Nunn  
 Ranking Minority Member

SENSITIVE

81 AUG 11 P 1: 03

JANET COLSON

BUD NANCE

DICK ALLEN

IRENE DERUS

JANET COLSON

BUD NANCE

KAY

CY TO VP

CY TO MEESE

CY TO BAKER

CY TO DEEVER

CY TO BRADY

*Handwritten vertical line with a flourish at the top.*

*For staffing*

*BK*

SHOW CC

SHOW CC

SHOW CC

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NATIONAL SECURITY COUNCIL  
WASHINGTON, D.C. 20508

4828

*DeBrafenroid*  
36

*Tech x-fer*

August 13, 1981

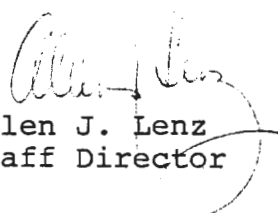
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United States Senate  
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AUG 1 1981 37

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**United States Senate**  
 COMMITTEE ON  
 GOVERNMENTAL AFFAIRS  
 SENATE PERMANENT SUBCOMMITTEE  
 ON INVESTIGATIONS  
 WASHINGTON, D.C. 20510

August 10, 1981

SENSITIVE

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 Assistant to the President  
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 National Security Council  
 Old Executive Office Building  
 Washington, D. C. 20506

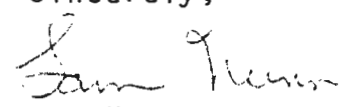
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Sincerely,



Sam Nunn  
 Ranking Minority Member

SENSITIVE

MEMORANDUM

#4828

## NATIONAL SECURITY COUNCIL

August 12, 1981

ACTION

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RECOMMENDATION:

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Approve \_\_\_\_\_

Disapprove \_\_\_\_\_

*on leave*  
*Kdg/rmk*  
Gus Weiss, Ken de Graffenreid, and Bill Stearman concur.

## Attachments

Tab I - Proposed response

Tab II- Incoming correspondence

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BUD NANCE

DICK ALLEN

IRENE DERUS

JANET COLSON

BUD NANCE

KAY

CY TO VP

CY TO MEESE

CY TO BAKER

CY TO DEEVER

CY TO BRADY

SHOW CC

SHOW CC

SHOW CC

SHOW CC

SHOW CC

To Staffing  
Dk