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**EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF SCIENCE AND TECHNOLOGY POLICY**

WASHINGTON, D.C. 20506

July 10, 1986

MEMORANDUM FOR DICK JOHNSON

THROUGH: MAURIE ROESCH *mcr III*
FROM: JACK WOOD *JPW*
SUBJECT: DoD Research Funds Set Aside by Congress

At the Army Research and Technology briefing on July 8, Dr. Cameron brought up the issue of Congressional set-asides and the negative impact this action has on service programs. You asked that we look into what OSTP can do to assist. This memo follows up on that question.

When Congress originally took the action, OSD, with the help of AAU, John McTague, and others, fought hard against it. Members of Congress involved in the set-asides, although not politically embarrassed, received a lot of visibility. Senators Burgham, Proxmire, and others spoke openly against the practice. The issue quieted before pressure rose to the point of overturning the legislation; however, DoD's point was clearly made.

Senator Stevens, Defense Subcommittee Chairman of the Appropriations Committee, was in the hot seat. He carefully worded the final set-aside appropriation legislation so that it directs DoD to make "contributions" to the designated universities in the total amount of 55.6 million (Cornell dropped out). The wording also connotes that although Congress's hands are not tied next year, this was a one-time event. OSD feels that it lost this year's battle, but in the process, won the war. It is not expected that the precedence will be followed next year as the handwriting is quite clear.

The 55.8M set aside this year will not affect the URI program. OSD is just now figuring from where the money will come. The fuss raised by the set-asides, however,

is blamed by Tony Battista as the reason the URI was not plussed up to 100M this year as it was last.

Bottom line: Issue is dead at this time. No help needed from OSTP. As next year's appropriations draw near, we might assist by dropping some reminders on the Hill that set-asides, or "contributions," for pork barrel universities will not get through without another fight.

Most of the above came from Leo Young, OSD's Director of Research and Laboratory Management. I asked Leo to make this issue an item for discussion when OSD presents its research management briefing to OSTP in a month or so.

THE WHITE HOUSE

WASHINGTON

November 1, 1985

Dear Senator Stevens:

For several years I have been concerned that the Department of Defense has not utilized fully the scientific and technical talent in our universities. There are many fundamental problems that must be solved if we are to maintain our lead in defense technology. To do so requires that we bring the best minds in the country to bear on those problems; and many of those minds reside in our universities.

Prior to the Mansfield Amendment in the late 1960's our major research universities enjoyed an excellent rapport with the DOD, but that relationship deteriorated and has never been fully restored. Therefore, I have strongly supported DOD's University Research Initiative as a means to encourage a new generation of university researchers to become interested in problems of potential interest to national defense.

I want to thank you for your support of this Initiative and particularly for upholding the principle of expert review in basic research funding for universities. The past success of our universities in contributing to our lead in military technology came about because we were totally committed to the pursuit of excellence. The University Research Initiative is intended to continue that tradition, with research funds awarded solely on the basis of excellence and broad mission relevance.

Sincerely,



G. A. Keyworth

Science Advisor to the President

The Honorable Ted Stevens
United States Senate
Washington, D.C. 20510

Hicks, D. A.

~~K~~ ~~TK~~

THE WHITE HOUSE

Office of the Press Secretary

For Immediate Release

June 24, 1985

The President today announced his intention to nominate Donald Alden Hicks to be Under Secretary of Defense for Research and Engineering. He would succeed Richard D. DeLauer.

Mr. Hicks has been with Northrop Corporation since 1963 serving as Vice President for Engineering, Ventura Division (1963-66); Vice President and Manager, Applied Research Department (1966-71); Vice President and Manager, Northrop Corporate Laboratories (1971-1974) and Senior Vice President (1974-present). Previously, he was a Chief in the Applied Physics Section for Boeing Company (1956-61) and a research physicist for Lawrence Radiation Laboratories (1953-56).

Mr. Hicks graduated from Olympic Junior College (A.A., 1948) and the University of California at Berkeley (A.B., 1950; M.S., 1954; and Ph.D., 1956). He is married, has two children and resides in Beverly Hills, California. He was born February 20, 1925 in Ely, Nevada.

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EXECUTIVE OFFICE OF THE PRESIDENT

OFFICE OF SCIENCE AND TECHNOLOGY POLICY

FROM: Roy A. Anderson Lockheed Corporation	DATED: May 21, 1985
SUBJECT: Thanking Dr. Keyworth for his letter requesting support for the President's program to restore the university-defense link via a DOD University Research Initiative.	
RECEIVED: May 28, 1985	ACTION BY: Executive Director/lsl
ACTION COPY TO: Roesch	
INFORMATION COPIES TO: Lynch	
SUSPENSE DATE: June 17, 1985	
REMARKS: FOR APPROPRIATE ACTION Please indicate action taken below and include date of action. If written correspondence was sent, please attach a copy. () Written correspondence. () Telecon. () Action transferred to _____ () No action necessary. () Other	

Filed DOD - Univ. Research



Burbank, California 91520 (818) 847-6452

May 21, 1985

Roy A. Anderson
Chairman of the Board

Dr. G. A. Keyworth
Science Advisor to the President
The White House
Washington, D.C.

Dear Dr. Keyworth:

Thank you for your recent letter requesting support for the President's program to restore the university-defense link via a DOD University Research Initiative. I have recently received a copy of it from OUSDRE with the 1984 Report of the DOD-University Forum.

I strongly support this proposal. There could be no more valuable asset to our military and economic strength than creating a strong technical base which has its origins in university research and the education of our future scientists and engineers. I am convinced that our future military capability is dependent upon an intelligent, highly skilled and technically trained manpower base of people even now in critical supply, and that we are heavily dependent upon our universities to fulfill this vital need.

In 1984, a significant increase in our interactions with universities was made a formal Lockheed corporate objective which continues in 1985. We have been supportive in the past of the DOD proposal to review its collaboration among schools, universities, and industries; have responded to the revised guidelines of the DOD establishing industry/university interaction utilizing IR&D as a DOD Special Interest item; and have increased our gifts, donations, research grants, fellowships, scholarships, joint research programs, and the use of faculty as consultants.

We will now be supporting several of the National Science Foundation Young Presidential Investigators, a program we know to be of high interest to you.

Dr. G. A. Keyworth

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May 21, 1985

We will indeed stand behind the President in supporting the DOD's 1986 University Research Initiative, and share with you and the President a commitment to work on its adoption.

Sincerely,

A handwritten signature in dark ink, appearing to be 'Roy A. Anderson', written in a cursive style.

Roy A. Anderson

EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF SCIENCE AND TECHNOLOGY POLICY

FROM: Thomas V. Jones Northrop	DATED: May 17, 1985
SUBJECT: Letter regarding Dr. Keyworth's request for support for the DOD University Research Initiative.	
RECEIVED: May 29, 1985	ACTION BY: Executive Director/lstj
ACTION COPY TO: Healy <i>J</i>	
INFORMATION COPIES TO: Lynch Ling <i>add Mc Tague</i> <i>BSI-5</i>	
SUSPENSE DATE: June 17, 1985	
REMARKS: FOR APPROPRIATE ACTION Please indicate action taken below and include date of action. If written correspondence was sent, please attach a copy. () Written correspondence. () Telecon. () Action transferred to _____. () No action necessary. () Other	

Chairman of the Board and
Chief Executive Officer

17 May 1985

Dr. G. A. Keyworth
Science Advisor to the President
The White House
Washington, D.C.

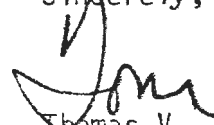
Dear Dr. Keyworth:

This letter is in regard to your request for support for the DOD University Research Initiative. I couldn't agree more with you that our industry is dependent upon new technology and technically trained talent. The initiatives you describe are programs which should enhance our technological base, and you can count on my support.

As a point of interest, for a number of years Northrop has fostered a dynamic program of interaction with technically and business-oriented universities and institutions of higher education. Currently, we have specific multi-level involvement with more than 20 universities, and we provide general financial support to about 40 other institutions of higher education.

You have our best wishes for the success of your program.

Sincerely,



Thomas V. Jones