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WHITE HOUSE LAW LIBRARY **ROOM 528 OEOB**

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COMPTROLLER GENERAL OF THE UNITED STATES WASHINGTON D.C. 28549

B-210555.10

August 19, 1985

The Honorable William Proxmire United States Senate

Dear Senator Proxmire:

This is in response to your letter dated May 28, 1985, requesting that this Office "review the current practice, and legality, of chauffeur service for top staff of the Office of Management & Budget and the White House." We have answered the specific questions posed in your May 28 letter in the discussion of the pertinent circumstances and applicable law set forth below. In general, we have concluded that no person at the Office of Management and Budget (OMB) or on the White House staff may regularly receive Government transportation for travel between his home and his workplace under the law as it is presently worded.

The information available to us at this time indicates that at OMB, the former Director was receiving daily Government home-to-work transportation until his recent departure from Government service. The Deputy Director of OMB received such transportation during the first 3 months of this year (until April 5, 1985), but now drives himself to and from his office. The White House has not responded to our inquiries to date and we were unable to verify the information provided in your May 28 letter that the Counselor to the President, the Chief of Staff, the Deputy Chief of Staff, and the Assistant to the President for National Security Affairs receive Government home-to-work transportation. We have therefore answered your questions with respect to the White House staff in general terms, making a working assumption that the information provided to you 3 years ago is still true for the present incumbents of the positions you identified.

The provision of home-to-work transportation to Government employees is governed by 31 U.S.C. § 1344 (1982) which provides that a vehicle may be operated with appropriated funds "only for an official purpose." The term, "official purpose," with few exceptions, "does not include transporting officers or employees of the Government between their domiciles and places of employment * * *." 31 U.S.C. § 1344(a). In our decision in 62 Comp. Gen. 438 (1983), we concluded that some of our previous decisions interpreting 31 U.S.C. § 1344 included "overly broad language which implied exceptions to the statutory prohibition which we did not intend." We then set out to restate the law as unequivocally as possible.

We held that unless certain narrow exceptions apply, "agencies may not properly exercise administrative discretion to provide home-to-work transportation to their officers and employees, unless otherwise provided by statute." 62 Comp. Gen. at 447.

Section 1344(a) of title 31 provides exemptions from the home-to-work prohibition for medical officers performing outpatient services and certain employees performing field work. In our opinion, these exemptions do not apply to OMB and White House staff. Further, section 1344(b) exempts a small group of officials from the home-to-work prohibition. That group includes the President, the heads of cabinet departments specifically listed in section 101 of title 5, and "principal diplomatic and consular officials." 31 U.S.C. § 1344(b) (1982). Because no person at OMB or on the White House staff falls within this group of officials, and we are aware of no other pertinent authority, we conclude that, in the absence of any information which would support an exception, no person at OMB or on the White House staff may properly receive Government home-to-work transportation.

As your May 28 letter indicates, OMB cites section 406 of Public Law 98-371, the Department of Housing and Urban Development-Independent Agencies Appropriation Act, 1985, in support of its position that home-to-work transportation for the Director and Deputy Director of OMB is authorized. That section reads:

"Sec. 406. None of the funds provided in this Act to any department or agency may be expended for the transportation of any officer or employee of such department or agency between his domicile and his place of employment, with the exception of the Secretary of the Department of Housing and Urban Development, who, under title 5, United States Code, section 101, is exempted from such limitation." 98 Stat. 1237.

OMB, in its April 2, 1985, letter to you, points out that section 406, "by expressly preventing the use of appropriated funds to provide such transportation to all officials other than the Secretary of HUD and the agencies covered by the bill, demonstrates that Congress knows how to prohibit such transportation when it wishes to do so."

OMB's argument seems to be that because Congress in section 406 specifically prohibited home-to-work transportation for Department of Housing and Urban Development

employees (with the exception of the Secretary), and has enacted no similar prohibition for OMB, Congress intends that there be no home-to-work prohibition applicable to OMB. We cannot agree with OMB's contention. We are aware of no precedent or statutory authority which would support such a legal theory. OMB's position ignores the permanent prohibition of 31 U.S.C. § 1344. Were we to accept OMB's argument and apply it to all agencies other than HUD, it would effectively repeal the home-to-work transportation prohibition of 31 U.S.C. § 1344. We are not aware of anything that shows that Congress intended such a result.

You point out that OMB also asserts "security grounds" as justification for the provision of home-to-work transportation to the former Director and the Deputy Director. In 54 Comp. Gen. 855 (1975) this Office recognized that a legitimate fear of violent criminal or terrorist activities could warrant an exception to the home-to-work prohibition for Government employees exposed to such danger. In order to justify the use of a Government vehicle for home-to-work transportation on the basis of such a threat, there must be a "clear and present danger" of violent criminal or terrorist activities directed at the employee in question and it must be clear that the furnishing of Government transportation would provide protection not otherwise available. 54 Comp. Gen. at 858. A determination that a threat to the safety of an employee justifies home-to-work transportation should be made with great circumspection. This Office would consider it an abuse of discretion if speculative or remote fears of terrorism were used to justify home-to-work transportation of employees. B-210555.3, February 7, 1984.

Here, OMB has merely asserted "security grounds," with no further explanation, as justification for the home-to-work transportation of the former Director and the Deputy Director. In our view, this assertion, standing alone without further explanation of the specific nature of the threat and the added protection afforded by Government transportation, does not establish the existence of circumstances warranting Government home-to-work transportation.

On the other hand, to the extent that security measures are justified, home-to-work transportation at Government expense may be authorized.

Your May 28 letter indicates that OMB spokesmen, in statements to the media, have asserted that the Director's position has "Cabinet-level rank" and, accordingly, the Director is entitled to Government home-to-work transportation. OMB apparently contends that the Director should be numbered among the "heads of executive departments listed in section 101 of title 5," who are exempt from the home-to-work prohibition under 31 U.S.C. § 1344(b). However that may be, under the law as it presently stands OMB is not a cabinet agency and its Director is not entitled to rely on the exception. In this connection you are doubtless aware that the Administration has submitted proposed legislation on this subject.

Finally, you have specifically asked whether top White House and OMB staff are subject to the penalties prescribed in law for knowing violation of the home-to-work prohibition and, if so, how these penalties would be invoked. The penalty for violation of 31 U.S.C. § 1344 is set forth in 31 U.S.C. § 1349(b) (1982) as follows:

"(b) An officer or employee who willfully uses or authorizes the use of a passenger motor vehicle or aircraft owned or leased by the United States Government (except for an official purpose authorized by section 1344 of this title) or otherwise violates section 1344 shall be suspended without pay by the head of the agency. The officer or employee shall be suspended for at least one month, and when circumstances warrant, for a longer period or summarily removed from office."

We are aware of no reason why White House or OMB staff would not be subject to this provision. The appropriate penalty is mandatory and would be invoked if a determination by the agency head is made that a willful violation of section 1344 had taken place. In the case of both Mr. Stockman and Mr. Wright, as a non-cabinet agency head and his second-in-command, our 1983 decision established a moratorium on enforcement of the prohibition against non-cabinet agency heads and the second-in-command of cabinet and non-cabinet level agencies, until the end of the 98th Congress in order to afford the executive branch an opportunity to submit an amendment to the existing prohibition that might expand the

exemptions. That moratorium has now expired. 1/ Mr. Stockman had continued to use Government transportation until his departure from Government service and Mr. Wright continued to do so until April 5, 1985. They did so on advice of counsel that they qualified for an exemption on several other grounds. The advice was mistaken in our view, but there may not be grounds for a finding that Mr. Stockman or Mr. Wright "willfully" disregarded the prohibition.

Similarly, we assume that if White House staff members utilized such transportation, they did so with advice of counsel. While such transportation is not permitted by the statute, and we have received no information regarding any applicable exception to the prohibition, its use probably would not amount to "willfull" disregard of the law by the staff members involved.

Nevertheless, irrespective of concerns of willfulness, the fact remains that on the present record unauthorized use of Government vehicles for home-to-work transportation did occur. It is our view on that record, that the officers and employees on the White House staff who might be involved should immediately cease such use of Government vehicles unless adequate justification is provided.

We hope that we have been of assistance. Unless we hear otherwise from your office, this letter will be available for release to the public 30 days from today.

Sincerely yours,

Comptroller General of the United States

In a letter to OMB dated February 1, 1985, we offered to delay our enforcement of the home-to-work restrictions until June 1, 1985, "if the Administration's proposed legislation is promptly introduced in the Ninety-Ninth Congress." Since the legislation was not introduced, there was no extension of our enforcement moratorium beyond the end of the 98th Congress.

THE WHITE HOUSE

WASHINGTON

September 11, 1985

MEMORANDUM FOR FRED F. FIELDING

FROM:

JOHN G. ROBERTS

SUBJECT:

Portal-to-Portal

I have now received a copy of the Comptroller General letter (B-210555.10) to Senator Proxmire that prompted the recent media interest in portal-to-portal. The letter concludes that there is no present statutory authority for anyone at OMB or on the White House staff regularly to receive portalto-portal service.

The letter reviews the 1983 GAO opinion, rejects Horowitz's argument that Congress's explicit prohibition of portal-toportal in the 1985 HUD appropriations act is evidence that 31 U.S.C. § 1344 should not be strictly construed, and recognizes a security exception to the portal-to-portal ban but asserts there must be a "clear and present danger." letter then discusses the penalty provision for violating the portal-to-portal ban, 31 U.S.C. § 1349(b), which provides a minimum one-month suspension without pay for willful use or authorization of portal-to-portal in violation of 31 U.S.C. § 1344. The letter states "we are aware of no reason why White House or OMB staff would not be subject to this provision." Bowsher notes that Stockman and Wright's violations appear not to be willful, since they relied on advice of counsel. Bowsher "assumed" White House officials using portal-to-portal also acted on advice of counsel. He concluded by stating that "the officers and employees on the White House staff who might be involved should immediately cease such use of Government vehicles unless adequate justification is provided." In a footnote, Bowsher also expressed his view that any GAO moratorium on enforcement has long since lapsed.

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Nevertheless, irrespective of concerns of willfulness, the fact remains that on the present record unauthorized use of Government vehicles for home-to-work transportation did occur. It is our view on that record, that the officers and employees on the White House staff who might be involved should immediately cease such use of Government vehicles unless adequate justification is provided.

We hope that we have been of assistance. Unless we hear otherwise from your office, this letter will be available for release to the public 30 days from today.

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BY THE U.S. GENERAL ACCOUNTING OFFICE

Report To The Chairman, Subcommittee On Legislation And National Security, Committee On Government Operations House of Representatives

Use Of Government Motor Vehicles For The Transportation Of Government Officials And The Relatives Of Government Officials

GAO asked federal agencies for information on the use of government motor vehicles, during the period January to June 1985, to transport (1) officials between their residences and their places of employment, and (2) relatives of officials between various locations.

Of the 128 officials GAO was told were provided transportation between their residences and places of employment, GAO believes that 79 received unauthorized transportation. Of the 17 relatives of officials GAO was told were provided transportation between various locations, GAO believes that 7 received unauthorized transportation. Five received transportation that sometimes was unauthorized.



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UNITED STATES GENERAL ACCOUNTING OFFICE WASHINGTON, D.C. 20548

GENERAL GOVERNMENT

B-210555

The Honorable Jack Brooks
Chairman, Subcommittee on
Legislation and National
Security
Committee on Government Operations
House of Representatives

Dear Mr. Chairman:

Your April 2, 1985, letter asked us to determine the use of government motor vehicles to transport government officials between their residences and their places of employment. Subsequently, your office asked us to also review the use of government vehicles to transport relatives of government officials between any locations, while either accompanied or not accompanied by the government officials. Further, we were asked to limit our review to transportation provided to officials and their relatives within the continental United States since January 1, 1985, and to report whether, in our opinion, the officials and relatives of officials were authorized to receive the transportation they were provided.

As agreed with your office, we developed information on the use of government vehicles by federal officials and their relatives by requesting information from the Executive Office of the President, the 13 cabinet-level departments, the departments' 178 subordinate organizations, and 60 independent executive branch offices, boards, commissions, agencies, etc. Of the total number of executive branch activities from whom we requested information, all but the Executive Office of the President responded concerning the use of government motor vehicles by their officials and relatives of officials. We examined records and otherwise verified only the information received from the Commodity Futures Trading Commission, the General Services Administration, and the Office of Personnel Management.

The agencies' responses indicated that 128 officials were provided transportation between their residences and their places of employment. In addition, on some occasions, 17 relatives of officials were provided transportation between various locations. Details on the 128 officials and the 17 relatives are contained in the schedules included as appendices I and II.

The basic authority for the use of government motor vehicles to provide transportation for an official purpose is contained in 31 U.S.C. 1344. It states:

- "(a) Except as specifically provided by law, an appropriation may be expended to maintain, operate, and repair passenger motor vehicles or aircraft of the United States Government that are used only for an official purpose. An official purpose does not include transporting officers or employees of the Government between their domiciles and places of employment except—
 - (1) medical officers on out-patient medical service; and
 - (2) officers or employees performing field work requiring transportation between their domiciles and places of employment when the transportation is approved by the head of the agency.
- (b) This section does not apply to a motor vehicle or aircraft for the official use of-
 - (1) the President;
 - (2) the heads of executive departments listed in section 101 of title 5; or
 - (3) principal diplomatic and consular officials."

In addition, there are other authorizations applicable to the use of government motor vehicles related to specific agencies or specific agency officials. The authority for transportation of officials and relatives of officials, as cited in the agencies' responses, is shown in the schedules in appendix II.

On the basis of our review of the information contained in the responses from the government agencies, departments, and subordinate organizations, we believe that 79 officials have received transportation in government motor vehicles between their residences and places of employment that they were not authorized to receive. This represents about 62 percent of the officials that were receiving transportation, according to the information we were provided. In addition, we believe that 7 of the 17 relatives receiving transportation in government motor vehicles were not authorized to receive it. Also, five

relatives received transportation in government motor vehicles that part of the time was authorized and part of the time was not authorized.

Schedules showing the agencies in which officials are receiving government transportation between their residences and places of employment, the number of such officials involved, the relatives of officials in these agencies receiving government transportation between various locations, and our opinion on whether such transportation was authorized are contained in the schedules included as appendices I and II.

The conclusions in appendix II regarding the authority, or lack of authority, for the cited transportation represent the preliminary views of our Office of General Counsel based on its review of the information provided by the agencies in response to our requests for information. Those conclusions should not be treated as formal decisions of the Comptroller General. Substantial further legal and factual review, including allowing each official the opportunity to provide any additional justification the official deems appropriate, would be necessary in each case before formal decisions could be made. As agreed with your office, we did not obtain comments from agency officials on our preliminary views.

As arranged with your office, unless you publicly announce its contents earlier, we plan no further distribution of this report until 30 days from the date of the report. At that time, we will send copies to interested parties and make copies available to others upon request.

We trust this information satisfies your request.

Sincerely yours,

William J. Anderson

Director

SUMMARY INFORMATION ON THE 128 OFFICIALS AND 17 RELATIVES PROVIDED TRANSPORTATION IN GOVERNMENT VEHICLES DURING THE PERIOD JANUARY 1 TO JUNE 30, 1985

Government officials provided transportation between home and place of work

Agency	Number Provided Transportation	Authorized	Not Authorized	Number Provided Transportation	Authorized	Not Authorized	Partially Authorized/ Not Authorized
Agency for Inter- national Develop- ment		1					
Department of Agriculture							
Arms Control and Disarmament Agency							
Central Intelligence Agency	2	2					
Department of Commerc	œ 1	1		1			
Department of Defense	e 13	11	2	6	2		3
Department of Educat	ion 1	1			1		
Department of Energy	14	1	13				

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Government officials provided transportation between home and place of work

	Number			Number			Partially
Agency	Provided Transportation	Authorized	Not Authorized	Provided Transportation	Authorized	Not Authorized	Authorized/ Not Authorized
Federal Deposit Insurance Corpo- ration	3	3					
Federal Emergency Management Agency							
Federal Reserve Syste	m 4	1	3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3			1	
General Services Administration	2		2				
Department of Health and Human Services	1	1					
Department of Housing and Urban Developme		1					
U.S. Information Agen	icy 2		2				
Department of the Interior	21	9	12	2	2		

SUMMARY INFORMATION ON THE 128 OFFICIALS AND 17 RELATIVES PROVIDED TRANSPORTATION IN GOVERNMENT VEHICLES DURING THE PERIOD JANUARY 1 TO JUNE 30, 1985

Government officials provided transportation between home and place of work

Agency	Number Provided Transportation	Authorized	Not Authorized	Number Provided Transportation	Authorized	Not Authorized	Partially Authorized/ Not Authorized
Department of Justice		3					
Department of Labor	1						
Nuclear Regulatory Commission	1981 2013 1981 2013 1981 1981 1981	1	2 2				
Office of Management and Budget	2		2				
Office of Personnel Management	2 2		2 2				
Overseas Private Inve ment Corporation	est- 2	2					
Peace Corps	1						
Postal Service	3		3				

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Government officials provided transportation between home and place of work

Agency	Number Provided Transportation	<u>Authorized</u>	Not Authorized	Number Provided Transportation	Authorized	Not Authorized	Partially Authorized/ Not Authorized
Smithsonian Institu- tion		1					
Department of State	4	3					
Tennessee Valley Authority							
Department of Trans- portation	16	2	14				
Department of Treasu	ry <u>20</u>	_2	<u>18</u>	_2		_2	
TOPAL	128	49	79	17	5	7	5

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
AGENCY FOR INTERNATIONAL DEVELOPMENT			
Administrator	Daily	"22 U.S.C. Section 2396(a)(5)"	Authorized - statute cited.
AGRICULTURE, DEPARTMENT OF			
Secretary	Daily	"31 U.S.C. 638a(c)(2)" [now 31 U.S.C. § 1344 b]	Authorized - cabinet department head.
Wife of Secretary	Official functions	"On occasion, the wife of the Secretary represents the Secretary at official functions. To attend these functions she is provided with transportation" (The wife of the Secretary has on occasion been provided with transportation to attend official functions with the Secretary not in attendance.)	Partially authorized. Authorized when accompanying or meet-cabinet member at official functions. Not authorized when attending official function alone. (note a) Spouses are not themselves considered representatives of the United States.
ARMS CONTROL AND DISARMAMENT AGENCY			
Director	Daily	"Section 48 of Public Law 87-297, Arms Control and Disarmament Act" [as amended by Pub. Law No. 96-465, October 17, 1980]	Authorized by 22 U.S.C. § 2588.
CENTRAL INTELLIGENCE AGENCY			
Director	Daily	"Section 8 of the CIA Act of 1949, as amended (50 U.S.C. 403j)"	Authorized - statute cited.
Deputy Director	Daily	"Section 8 of the CIA Act of 1949, as amended (50 U.S.C. 403j)"	Authorized - statute cited.

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
COMMERCE, DEPARTMENT OF			
Secretary	Daily	"31 U.S.C. 1344"	Authorized - cabinet department head.
Wife of Secretary	Very infrequently	"When the wife of the Secretary must meet the Secretary at an official function because it is not logistically possible for them to ride together or when the Secretary's wife is representing the Secretary, in his absence, at an official function." (The wife of the Secretary has on occasion been provided with transportation to attend official functions with the Secretary not in attendance.	Partially authorized. Authorized when meeting cabinet member at official function. Not authorized when attending official function alone. (note a) Spouses are not themselves considered representatives of the United States.
DEFENSE, DEPARTMENT OF			
Secretary	Daily	"31 U.S.C. 1344(b)(2)"	Authorized - cabinet department head
Wife of Secretary	As required for official activities	"As required for official representational activities or as dictated by security considerations." (The wife of the Secretary has on occasion been provided with transportation to attend official functions with the Secretary not in attendance.	Partially authorized. Authorized when meeting cabinet member at official function. Not authorized when attending function alone. (note a). Spouses are not themselves considered representatives of the United States. Transportation for security reasons not authorized without specific evidence of a (Continued on next page)

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Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
DEPENSE, DEPARTMENT OF (CONTINUED)			
			"clear and present danger" and a showing that the use of a government vehicle would in- crease protection of the of- ficial passenger. (note b)
Deputy Secretary	Daily	"31 U.S.C. 1344(b)(2) and 10 U.S.C. 2637"	Authorized - 10 U.S.C. § 2637.
Wife of Deputy Secretary	As required for official activities	"As required for official representational activities or as dictated by security considerations." (The wife of the Deputy Secretary has on occasion been provided with transportation to attend official functions with the Deputy Secretary not in attendance.)	Partially authorized. Authorized when meeting deputy secretary at official function. Not authorized when attending function alone. (note a) Spouses are not themselves considered representatives of the United States. Transportation for security reasons not authorized without specific evidence of a "clear and present danger" and showing that the use of a government vehicle would increase protection of the official passenger. (notes a, b)
Under Secretary of Defense for Policy	Daily	"31 U.S.C. 1344(b)(2) and 10 U.S.C. 2637"	Authorized - 10 U.S.C. 2637

Official/relative eceiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
DEFENSE, DEPARTMENT OF (CONTINUED)			
Wife of Under Secretary of Defense for Policy	Official functions	"When attending official social functions with the Under Secretary, attendant to his official responsibilities. No independent use of transportation." "31 U.S.C. 1344(b) (2) and 10 U.S.C. 2637"	Authorized. (note a) Spouses of official entitled to routine transportation may be transported to meet that official at an official function.
General Counsel	Occasionally	"Occasionally receives transpor- tation in the evening from home to official functions and on occasion from home to the airport"	Not authorized. Transportation from home to official functions not authorized (note f). Transportation from home-to-airport may be authorized if provided in accordance with Federal Travel Regulations. (note d)
Chairman, Joint Chiefs of Staff	Daily	"10 U.S.C. 2637 and DOD DIR. 4500.36 dated April 10, 1985"	Authorized - 10 U.S.C. 2637.
Chief of Staff, U.S. Air Force	Daily	"Title 10, U.S.C. Section 2637 (1985) (Section 614, P.L. 98-525 DOD Authorization Act, 1985)"	Authorized - 10 U.S.C. 2637.
Chief of Staff, U.S. Army	Daily	"DOD Directive 4500.36"	Authorized - 10 U.S.C. § 2637.
Vice Chief of Staff, U.S. Army	When acting chief	"DOD Directive 4500.36"	Not authorized. Acting Chief of Staff is not authorized home-to-work transportation. (note e)

	Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
	DEFENSE, DEPARTMENT OF (CONTINUED)			
	Chief of Naval Operations (CNO)	Daily	"Service Chief, Chief of Naval Operations/Member Joint Chiefs of Staff"	Authorized - 10 U.S.C. § 2637.
	Wife of Chief of Naval Operations	Once/twice per month	"Nosting foreign VIP's (foreign CNO wives and party) for tour of Washington, D.C."	Not authorized. Agency explan- ation does not overcome statu- tory prohibition. Spouses are not themselves considered representatives of the United States. (note a)
۰,	Commandant, Marine Corps	Daily	"Service Chief"	Authorized as a member of Joint Chief of Staff - 10 U.S.C. § 2637.
	Wife of Commandant	3 occasions	"When invited to official social events, as wife of Commandant" (Attended official function with Commandant on 2 occasions. Attended alone on 1 occasion.)	Partially authorized. Authorized when meeting Commandant at official function. Not authorized when attending official function alone. (note a) Spouses are not themselves considered representatives of the United States.
	Secretary of the Air Force	Daily	"Title 10, U.S.C. Section 2637 (1985) (Section 614, P.L. 98-525 DOD Authorization Act, 1985)"	Authorized. See 62 Comptroller General 438, 443 note (1983).
	Secretary of the Army	Daily	"DOD Directive 4500.36"	Authorized. See 62 Comptroller General 438, 443 note (1983).

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
DEPENSE, DEPARTMENT OF (CONTINUED)			
Secretary of the Navy	Daily Including Weekends	"Service Secretary"	Authorized. See 62 Comptroller General 438, 443 note (1983).
Wife of Secretary of the Navy	Rare only for official functions	"Rare - only when joining up with her husband to attend an official function."	Authorized. (note a) Spouse of official entitled to routine transportation may be transported to meet that official at an official function.
EDUCATION, DEPARTMENT OF			
Secretary	Daily	"Cabinet Officer, Department of Education"	Authorized - cabinet department head.
Wife of Secretary	Three times from 2/7/85 to present for official cabinet functions	"GAO Comptroller General Decision B-210555.9" (Either traveled with Secretary or met Secretary at official function)	Authorized. (note a) Spouse of official entitled to routine transportation may be transported to meet that official at an official function.
ENERGY, DEPARTMENT OF			
Secretary	Daily	"31 U.S.C. 1344(b)(2)"	Authorized - cabinet department head

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
ENERGY, DEPARTMENT OF (CONTINUED)			
Manager, Idaho Operations Office	Daily	"DOE-PMR 109-38.54. Safety and security emergency response capability"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Manager, Savannah River Operations Office	Daily	"DOE-PMR 109-38.54. Safety and security emergency response capability"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Assistant Manager for Operations, Savannah River Operations Office	Daily	"DOE-PMR 109-38.54. Safety and security emergency response capability"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Deputy Manager, Savannah River Operations Office	Daily	"DOE-PMR 109-38.54. Safety and security emergency response capability"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Director, Nevada Test Site Office	Daily	"DOE-PMR 109-38.54. Safety and security emergency response capability"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)

Official/relative receiving transportation		Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
ENERGY, DEPARTMENT OF (CONTINUED)			
Chief, Safeguards and Nevada Test Site Security Branch	Daily	"DOE-PMR 109-38.54. Safety and security emergency response capability"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Eastern Area Measurements Site Manager, Maryland	Daily	"DOE-PMR 109-38.54. Safety and security emergency response capability"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Supervisory Petroleum Engineer, Naval Petroleum Reserve, California	Daily until 3/19/85 local authorization elapsed	"DOE-PMR 109-38.54. On call response to oil drilling and producing operations"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Petroleum Engineer, Naval Petroleum Reserve, California	Daily until 3/19/85 local authorization elapsed	"DOE-PMR 109-38.54. On call response to oil drilling and producing operations"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Supervisory Geologist Naval Petroleum Reserve, California	Daily until 3/19/85 local authorization elapsed	"DOE-PMR 109-38.54. On call response to oil drilling and producing operations"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
ENERGY, DEPAREMENT OF (CONTINUED)			
Assistant to the Government's Member Operating Committee Naval Petroleum Reserve, California	Daily until 3/19/85 local authorization elapsed	"DOE-PMR 109-38.54. On call response to oil drilling and producing operations"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Safety and Occupa- tional Health Manager, Naval Petroleum Reserve, California	Daily until 3/19/85 local authorization elapsed	"DOE-PMR 109-38.54. On call safety emergency response capability"	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
Director, Safeguards and Security Division, Savannah River Operations Office	Approxi- mately twice a month	"DOE-PMR 109-38.54. Escort official visitors"	Not authorized. Escort of official visitors is not an exception to statutory prohibition. (note f)
PEDERAL DEPOSIT INSURANCE CORPORATION			
Chairman	Daily	"Non appropriated funds used"	Authorized. Home-to-work pro- hibition of 31 U.S.C. 1344 applicable only when appropri- ated funds involved.
Appointive Director	Daily	"Non appropriated funds used"	Authorized. Home-to-work pro- hibition of 31 U.S.C. 1344 applicable only when appropri- ated funds involved.

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Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
PEDERAL DEPOSIT INSURANCE CORPORATION (CONTINUED)			
Comptroller of the Currency, Member of the Board	Daily	"Non appropriated funds used"	Authorized. Home-to-work prohibition of 31 U.S.C. 1344 applicable only when appropriated funds involved.
PEDERAL EMERGENCY MANAGEMENT AGENCY			
Assistant Associate Director, Office of Facilities Management	4-5 times per week	The Assistant Associate Director's duty station is Berryville, VA and the vehicle is taken from the facility nearly every night. Two or three times per week the vehicle is used to go to D.C. the next day. The Assistant Associate Director never charges mileage, parking fees, per diem, etc., since the government vehicle can be parked on the street in a government parking area.	

Official/relative receiving transportation FEDERAL RESERVE SYSTEM	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
Chairman	Daily	"Transportation has been provided to and from the Chairman's residence in accordance with Title 31, Section 638A, U.S.C. concerning use of vehicles for security reasons. This transportation arrangement is one of several security measures that the Board put into place in response to threats directed towards the Chairman A security professional accompanies him on all trips and drivers have received special motor vehicle training to deal with threatening situations."	appears to satisfy necessary elements of security rationale.
Wife of Chairman	Less than once a month	"Used when she comes to Washington to participate in official social events at which spouse's attendance is appropriate"	Not authorized. Transportation of spouse of Chairman permitted only on a space available basis when accompanying Chairman enroute. (note a)
Vice Chairman	Average 6 single way trips per month	"Use of vehicles is in connection with exceptional circumstances with official travel and late night work or official functions."	Not authorized. Position not within statutory exceptions. Convenience of the official passenger is not sufficient justification to overcome statutory prohibition. (note f)

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
PEDERAL RESERVE SYSTEM (CONTINUED)			
Governor	About 4 single way trips per month	"Use of vehicles is in connection with exceptional circumstances with official travel and late night work or official functions"	Not authorized. Position not within statutory exceptions. Convenience to official passenger is not sufficient justification to overcome statutory prohibition. (note f)
Governor	About 4 single way trips per month	"Use of vehicles is in connection with exceptional circumstances with official travel and late night work or official functions"	Not authorized. Position not within statutory exceptions. Convenience to official passenger is not sufficient justification to overcome statutory prohibiton. (note f)
GENERAL SERVICES ADMINISTRATION	1		
Transportation Operations Specialist, Office of Federal Supply and Services	Daily	"To conduct field work at the Faraday National Corporation, Herndon, VA, the GSA Contractor for embossing and issuance of the S.F. 149, U.S. Government National Credit Card (authority 31 U.S.C. 1344(a))."	Not authorized. Transportation does not fall within narrow field work exception. (note c)
Transportation Operations Specialist, Office of Federal Supply and Services	Daily	"To conduct field work at the Faraday National Corporation, Herndon, VA, the GSA Contractor for the embossing and issuance of the S.F. 149, U.S. Government National Credit Card (authority 31 U.S.C. 1344(a))."	Not authorized. Transportation does not fall within narrow field work exception. (note c)

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
HEALTH AND HUMAN SERVICES, DEPARTMENT OF			
Secretary	Daily	"Department's Material Management Manual and 31 U.S.Code, Section 1344"	Authorized - Cabinet Department head
HOUSING AND URBAN DEVELOPM DEPARTMENT OF	ll- NT		
Secretary	Daily	"Title 31, Section 638a(c)(2) U.S.Code and the Comptroller General's Opinion B-210555"	Authorized - Cabinet Department head
INFORMATION AGENCY, U.S.			
Director	Daily	"The Director has almost daily 8:45 am meetings at the Department of State. Almost nightly he attends social functions related to his office."	Not authorized. Position not within statutory exceptions. Convenience to official passenger not sufficient justification to overcome statutory prohibition. (note f)
Wife of the Director	Occasionally	"Occasionally the car will pick up the wife of the Director then pick up the Director enroute to a function (approximately five to ten times per year). This enables the Director to continue working for that additional time."	Not authorized. Transportation of spouse of Director permitted only when the Director himself is authorized transportation. (note a)

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Official/Relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
INFORMATION AGENCY, U.S. (CONTINUED)			
Associate Director for Management	Only during Director's absence	"As Acting Director, home to office transportation is required to meet scheduled requirements for early meetings and evening social functions related to the Director's responsibility performed in his absence."	Not authorized. Position not within statutory exceptions. Convenience to official passenger not sufficient justification to overcome statutory prohibition. (note f)
INTERIOR, DEPARTMENT OF			
Former Secretary	Daily	"31 U.S.C. 338(a)" [31 U.S.C. § 1344]	Authorized - Former Cabinet Department head
Wife of the former Secretary	"Periodically the Secre- tary's wife accompanies him to official functions which origi- nate or terminate at their residence	"The Secretary is authorized such transportation under 31 U.S.C. 638(a). On occasions where the Secretary's wife is provided transportation, she is an official guest of the Secretary or a participant in the function"	Authorized. (note a) Spouse of official entitled to routine transportation may be transported to meet that official at an official function.
Secretary	Daily	"31 U.S.C. 638(a)" [31 U.S.C. § 1344]	Authorized - Cabinet Department head

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
INTERIOR, DEPARTMENT OF (CONTINUED)		(일시) 등 시설하는 사용하는 경기를 받는 것으로 되었다. (일시) 등 시설 등 일시 등 기업 등 기업 등 기업 등 기업 등 기업 (일시) 등 기업 등 기	
Wife of the Secretary	"Periodically the Secre- tary's wife accompanies him to official functions which origi- nate or terminate at their residence"	"The Secretary is authorized such transportation under 31 U.S.C. 638(a). On occasions where the Secretary's wife is provided transportation, she is an official guest of the Secretary or a participant in the function"	Authorized. (note a) Spouse of an official entitled to routine transportation may be transported to meet that official at an official function.
Public Safety Officer, Fish and Wildlife Service	Daily	"Responds to emergency calls on six National Wildlife Refuges involved with the protection of life and property on call 24 hours per day."	Not authorized. Emergency response capability not sufficient justification to overcome statutory prohibition. (note f)
Assistant Refuge Manager, Fish and Wildlife Service	Daily	"After hour call-outs to law enforcement calls and other emergencies." " involved with the protection of life and property on call 24 hours per day."	Not authorized. Emergency response capability not sufficient justification to overcome statutory prohibition. (note f)
Hatchery Manager, Fish and Wildlife Service	Daily	"Protection of life and property 24 hours per day."	Not authorized. Protection of government property is not sufficient justification to overcome statutory prohibition. (note f)

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
INTERIOR, DEPARTMENT OF (CONTINUED)			
Mining Engineering Technician, Office of Surface Mining, Wilkes-Barre, PA	Daily	"To increase the efficiency of the use of the vehicle by enabling the users to travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Not authorized. Economy for the government and conven- ience of the official pass- engers are not sufficient justifications to overcome statutory prohibition. (note f)
		"To improve the protection for the vehicle and its contents as compared to its being parked over- night at the project site and/or to eliminate costly parking fees to park vehicles at commercial parking lots."	Technician travels from home to a fixed location each work day. Not covered under narrow field work exception. (note c)
2 Mining Engineering Technicians, Office of Surface Mining, Wilkes-Barre, PA	Daily share vehicle	"To increase the efficiency of the use of the vehicle by enabling the users to travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Authorized. Field work exception. (note c) The technician's work includes traveling from place to place during a work day.
		"To improve the protection for the vehicle and its contents as compared to its being parked overnight at the project site and/or to eliminate costly parking fees to park vehicles at commercial parking lots."	

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
INTERIOR, DEPARTMENT OF (CONTINUED)			
Mining Engineering Technician, Office of Surface Mining, Wilkes-Barre, PA	Daily	"To increase the efficiency of the use of the vehicle by enabling the users to travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Not authorized. Economy for the government or convenience of the official passenger are not sufficient justifications to overcome statutory prohibition. (note f)
		"To improve the protection for the vehicle and its contents as compared to its being parked overnight at the project site and/or to eliminate costly parking fees to park vehicles at commercial parking lots."	Technician travels from home to a fixed location each work day. Does not fit field work exception. (note c)
Mining Engineering Technician, Office of Surface Mining, Wilkes-Barre, PA	Daily	"To increase the efficiency of the use of the vehicle by enabling the users of travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Not authorized. Economy for the government or conven- ience of the official pass- enger are not sufficient justifications to overcome statutory prohibition. (note f)
		"To improve the protection for the vehicle and its contents as compared to its being parked overnight at the project site and/or to eliminate costly parking fees to park vehicles at commercial parking lots."	Technician travels from home to a fixed location each work day. Does not fit field work exception. (note c)

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
INTERIOR, DEPARTMENT OF (CONTINUED)			
Construction Inspector, Office of Surface Mining, Wilkes-Barre, PA	Daily	"To increase the efficiency of the use of the vehicle by enabling the users to travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Not authorized. Economy for the government or conven- ience of the official pass- enger are not sufficient justifications to overcome statutory prohibition. (note f)
		"To improve the protection for the vehicle and its contents as compared to its being parked overnight at the project site and/or to eliminate costly parking fees to park vehicles at commercial parking lots."	Technician travels from home to a fixed location each work day. Does not fit field work exception. (note c)
Mining Engineer, Office of Surface Mining, Wilkes-Barre, PA	Daily	"To increase the efficiency of the use of the vehicle by enabling the users to travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Not authorized. Economy for the government or conven- ience of the official pass- enger are not sufficient justifications to overcome statutory prohibition. (note f)
		"To improve the protection for the vehicle and its contents as compared to its being parked overnight at the project site and/or to eliminate costly parking fees to park vehicles at commercial parking lots."	Engineer travels from home to a fixed location each work day. Does not fit field work exception. (note c)

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
INTERIOR, DEPARTMENT OF (CONTINUED)			
Physical Scientist, Office of Surface Mining Wilkes-Barre, PA	Daily	"To increase the efficiency of the use of the vehicle by enabling the users to travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Not authorized. Economy for the government or conven- ience of the official pass- enger are not sufficient justifications to overcome statutory prohibition. (note f)
		"To improve the protection for the vehicle and its contents as compared to its being parked overnight at the project site and/or to eliminate costly parking fees to park vehicles at commercial parking lots."	Scientist travels from home to a fixed location each work day. Does not fit field work exception. (note c)
Construction Inspector, Office of Surface Mining, Wilkes-Barre, PA	Daily	"To increase the efficiency of the use of the vehicle by enabling the users to travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Not authorized. Economy for the government or convenience of the official passenger are not sufficient justifications to overcome statutory prohibition. (note f)
		"To improve the protection for the vehicle and its contents as compared to its being parked overnight at the project site and/or to eliminate costly parking fees to park vehicles at commercial parking lots."	Inspector travels from home to a fixed work location each work day. Does not fit field work exception. (note c)

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
INTERIOR, DEPARTMENT OF (CONTINUED)			
Construction Inspector, Office of Surface Mining, Wilkes-Barre, PA	Daily	"To increase the efficiency of the use of the vehicle by enabling the users to travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Not authorized. Economy for the government or convenience of the official passenger are not sufficient justifications to overcome statutory prohibition. (note f)
		"To improve the protection for the vehicle and its contents as compared to its being parked overnight at the project site and/or to eliminate costly parking fees to park vehicles at commercial parking lots."	Inspector travels from home to a fixed work location each work day. Does not fit field work exception. (note c)
Construction Inspector, Office of Surface Mining, Wilkes-Barre, PA	Daily	"To increase the efficiency of the use of the vehicle by enabling the users to travel directly to project sites without time consuming and expensive travel to obtain their vehicles."	Not authorized. Economy for the government or convenience of the official passenger are not sufficient justifications to overcome statutory prohibition. (note f)
		"To improve the protection for the vehicle and its contents as compared to its being parked overnight at the project site and/or to eliminate costly parking fees to to park vehicles at commercial parking lots."	Inspector travels from home to a fixed location each work day. Does not fit field work exception. (note c)

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Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments	to agency's response
INTERIOR, DEPARIMENT OF (CONTINUED)				
Operation and Maintenance Branch Manager, Bureau of Reclamation, Farmington, NM	Daily	"Reclamation Instructions 114S-38.7105" Ditchriders - Place to place travel	Authorized.	(note c)
Electrician, Bureau of Reclamation, Farmington, NM	Daily	"Reclamation Instructions 114S-38.7105" Place to place during day.	Authorized.	(note c)
Irrigation System Manager, (Chief, Operation Branch), Bureau of Reclamation, Farmington, NM	Daily	"Reclamation Instructions 114S-38.7105" Place to place during day.	Authorized.	(note c)
Master Mechanic, Bureau of Reclamation, Farmington, NM	Daily	"Reclamation Instructions 114S-38.7105" Place to place during day.	Authorized.	(note c)
Chief, Plant Protection, Bureau of Reclamation, Grand Cooley Dam	Daily	"Reclamation Instructions 114S-38.7104" Place to place during day.	Authorized.	(note c)
JUSTICE, DEPARTMENT OF				
Attorney General	Daily	"31 U.S.C. 1344"	Authorized head	- Cabinet Department

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
JUSTICE, DEPARTMENT OF (CONTINUED)			
Director Federal Bureau of Investigation	Daily	The Director of the FBI has received numerous threats against his life which place him in virtually continuous imminent danger. These threats necessitate 24 hour, seven day a week personal security protection for the Director. The home-to-work transportation received by the Director is incidental to the security detail that accompanies and protects the Director. We believe that in this context home-to-work transportation was contemplated by Comptroller General Decision B-210555.	Authorized. (note b) Agency justification reasonably appears to satisfy necessary elements of security rationale.

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Official/relative receiving transportation JUSTICE, DEPARTMENT OF (CONTINUED)	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
Acting Administrator, Drug Enforcement Administration	Daily	"Individuals engaged in illegal narcotic traffic are generally dangerous and in recent months their threats and violence against federal law enforcement officials have reached alarming proportions. The Administrator of the DEA by virtue of his position as the director of an aggressive and effective law enforcement program, is an obvious target for violence and retaliation. The Administrator is accordingly provided home-to-work transportation as a safety measure, which has the benefit of permitting him to be in constant contact with his office. We believe that home-to-work transportation in this context is contemplated by Comptroller General Decision B-210555	Authorized. (note b) Agency justification reasonably appears to satisfy necessary elements of security rationale, based on agency response and additional information provided by agency.
LABOR, DEPARTMENT OF			
Secretary	Daily	"Section 512, Appropriations Bill P.L. 98-619."	Authorized - Cabinet Department head

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
NOCLEAR REQUIATORY COMMISSI	ON		
Chairman	One Time	"Chairman recalls riding to work in Government vehicle once during severe weather conditions (driver was picking up documents)."	Authorized. Transportation permissible on a space-available basis. See 62 Comptroller General 438,447 (1983).
Commissioner	Not given	"When agency vehicles are authorized to transport the Commissioner's luggage from home to work for use later in the day on official travel, the Commissioner will accompany that luggage since no additional cost to the government is incurred."	Not authorized. Agency's explanation includes no justification for transport of personal luggage.
Deputy Director, Office of Public Affairs	Occasional usage	"To interview for Public Affairs Office in Region I. Departure/ arrival from residence most efficient."	Not authorized. Convenience of the official passenger is not sufficient justification to overcome statutory prohibition. (note f)

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	AO comments to agency's response
OPFICE OF MANAGEMENT AND BUDGET			
Director	Daily	"Based on prior opinions of the Department of Justice and GAO, OMB's Counsel to the Director authorized provision of portal-to-portal transportation for the Director and the Deputy Director, based on statutory grounds of personal security; and their need to be in constant communication with the White House immediately surrounding submission of the budget, and Congressional deliberations on the Executive Branch requests and the first budget resolution."	enger. (note b). Agency explanation is not in accord
		"In particular, the provision of such transportation has been deemed permissible in light of Congress' acquiescence the longstanding practice of providing such transportation to senior officials pursuant to these earlier GAO and Justic opinions; and Section 406 of the HUD-Independent Agencies Appropriations Act (Public Law 98-371). This Section, by expressly preventing the use of appropriated funds to provide such transportation to all officials other than the Secretary of HUD for the agencies covere by the bill, demonstrates that Congress knows how to prohibit such transportation when it wishes to do so."	>∈

Official/relative		Authority/circumstances/remarks as taken directly from the agency	
OFFICE OF MANAGEMENT AND BUDGET (CONTINUED)	Frequency	response to GAO's questionnaire	GAO comments to agency's response
Wife of Director	Frequently accompanies husband to work	On one occasion the wife of the Director was provided transportation from home to the physician's office. Frequently she accompanies the Director to the Old Executive Office Building and then is driven the remaining few blocks to her office.	Not authorized. Spouse of Director authorized transportation only on a space-available basis when the Director himself is authorized transportation. (note a)
Deputy Director	Daily 1/1/85 until 4/5/85	"Based on prior opinions of the Department of Justice and GAO, OMB's Counsel to the Director authorized provisions of portal-to-portal transportation for the Director and the Deputy Director, based on statutory grounds; the need for protection on grounds of personal security; and their need to be in constant communication with the White House during the period immediately surrounding submission of the budget, and Congressional deliberations on the Executive Branch requests and the first budget resolution." (Continued on next page)	Not authorized. Transportation for security reasons not authorized without specific evidence of a "clear and present danger" and a showing that use of a government vehicle would increase protection of the official passenger. (note b). Agency explanation is not in accord with statutory prohibition. (note f)

Official/relative receiving transportation

Frequency

Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire

GAO comments to agency's response

OPPICE OF MANAGEMENT AND BUDGET (CONTINUED)

Deputy Director (Continued)

"In particular, the provision of such transportation has been deemed permissible in light of Congress' acquiescence in the longstanding practice of providing such transportation to senior agency officials pursuant to these earlier GAO and Justice opinions; and Section 406 of the HUD-Independent Agencies Appropriations Act (Public Law 98-371), by expressly preventing the use of appropriated funds to provide such transportation to all officials other than the Secretary of HUD for the agencies covered by the bill, demonstrates that Congress knows how to prohibit such transportation when it wishes to do so."

OFFICE OF PERSONNEL MANAGEMENT

Former Director

3 occasions

Agency response did not include Former Director. GAO's review of records disclosed three occasions of home-to-work transportation.

Not authorized. OPM Director is not head of an executive department listed in section 101 of Title 5.

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
OPFICE OF PERSONNEL MANAGEMENT (CONTINUED)			
Acting Director OVERSEAS PRIVATE INVESTMENT CORPORATION	2 occasions	On two occasions the Acting Director was transported from her home to the office and later in the day to National Airport to travel in an official capacity to conduct agency business in the field. 31 U.S.C. 1344.	Not authorized. Convenience of the official passenger is not sufficient justification to overcome statutory prohibition. (note f) OPM not considered cabinet level department.
President and Chief Executive Officer	6 times per month	"No appropriated funds used."	Authorized. Home-to-work prohibition of 31 U.S.C. 1344 applicable only when appropriated funds involved.
Executive Vice President	9 times per month	"No appropriated funds used."	Authorized. Home-to-work prohibition of 31 U.S.C. 1344 applicable only when appropriated funds involved.
PRACE CORPS			
Director	2 times	"The director has been driven home from the office to enable her to use the time during the trip to work on official Peace Corps business."	Not authorized. Economy to the government is not suffi- cient justification to over- come statutory prohibition. (note f)

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
POSTAL SERVICE			
Postmaster General	Daily	"39 U.S.C. 401, 1001(e). The extended and pressing work schedule of the Postmaster General, characterized by multiple business functions beginning very early in the day and extending into the evening, requires the availability of transportation to and from work."	Not authorized. Convenience of the official passenger is not sufficient justification to overcome statutory prohibition. (note f) Postal Service not listed in 31 U.S.C. § 1344(b).
Wife of Postmaster General	Infrequently	"Such limited transportation for the wife of the Postmaster General is soley for the purpose of facili- tating her attendance at official functions."	Not authorized. Spouse of the Postmaster General would have been authorized transpor- tation on a space-available basis only if the Postmaster General himself has been authorized transportation. (note a)
Former Deputy Postmaster General	Daily through 2/16/85	"39 U.S.C. 401, 1001(e). The extended and pressing work schedule of the Deputy Postmaster General, characterized by multiple business functions beginning very early in day and extending late into the evening, requires the availability of transportation to and from work."	Not authorized. Convenience of the official passenger is not sufficient justification to to overcome statutory prohibition. (note f) Not covered by § 1344(b).

APPENDIX II

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
POSTAL SERVICE (CONTINUED)			
Deputy Postmaster General	Daily since 2/16/85	"39 U.S.C. 401, 1001(e). The extended and pressing work schedule of the Deputy Postmaster General, characterized by multiple business functions beginning very early in the day and extending late into the evening, requires the availability of transportation to and from work."	Not authorized. Convenience of the official passenger is not sufficient justification to overcome statutory prohibition. (note f) Not covered by § 1344(b).
SMITHSONIAN INSTITUTION			
Secretary	Daily	"Non federal funds used"	Authorized. Home-to-work prohibition of 31 U.S.C. 1344 applicable only when appropriated funds involved.
STATE, DEPARTMENT OF			
Secretary	Daily	"Title 31 U.S.C. Section 1344(a)"	Authorized - Cabinet Department head 31 U.S.C. § 1344(b).
Deputy Secretary	Daily	"Title 1 - Section 11, the State Department Basic Authorities Act" vis-a-vis the 1984 Act to Combat International Terrorism."	Authorized by 22 U.S.C. § 2678
U.S. Ambassador to the United Nations	Daily	"Title 31, U.S.C. 1344(a) and the February 2, 1984 interpretation of the law by the GAO B-210555.4."	Authorized. Fits exception for "Principal diplomatic and consular officials."
Chief of Protocol	Daily	"September 1, 1983, interpretation of the law by the Comptroller General. (GAO Ref. B-210555.2)."	Not authorized. Decision in B-210555.2, September 1, 1983 had only interim effect.

APPENDIX II

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
TENNESSEE VALLEY AUTHORITY			
Chief, Land Between The Lakes Patrol, Office of Natural Resources and Economic Development	Often	"No authority and the use has ceased."	Not authorized.
TRANSPORTATION, DEPARTMENT OF			
Secretary	Daily	"31 U.S.C. 1344(b)"	Authorized - Cabinet Department head
Deputy Secretary	4 times when acting Secretary	"31 U.S.C. 1344(b)"	Not authorized. Acting Secretary is not authorized home-to-work transportation under 31 U.S.C. § 1344(b). (note e)
Commandant of the U.S. Coast Guard	Daily	"31 U.S.C. 1344; Transportation provided on temporary basis to protect official from clear and present danger to physical safety."	Not authorized. Position not covered by statute cited. Transportation for security reasons not authorized without specific evidence of a "clear and present danger" and a showing that use of a government
		"Used Daily From 1 Jan 85 to Feb 85 and 1 Mar 85 to present. The Commandant ceased using an official vehicle when DOT questioned the authority used to authorize use (approximately 13 Feb 85)." (Continued on next page)	vehicle would increase pro- tection of the official

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
TRANSPORTATION, DEPARTMENT C (CONTINUED)			
		"The Commandant resumed using an official vehicle on 1 March 85 on a temporary basis to protect him from a clear and present danger to his physical safety."	
District Commanders of Twelve Coast Guard Districts	Daily	"31 U.S.C. 1344(a): Field Work Exception and the Motor Vehicle Manual, COMDSINST 11240.9." "Used Daily From 1 Jan 1985 to 13 Feb 1985 (until the DOT General Counsel determined that the field work exception was not applicable for these officials). The Commandant had determined that district commanders were performing field work of a character which made their use of Government owned or leased vehicles for work-to-home transportation essential within the meaning of 31 U.S.C. 1344 and such usage was authorized on a continuing basis."	Not authorized. Transportation does not fall within narrow field work exception. (note c) Transportation for security reasons not authorized without specific evidence of a "clear and present danger" and a showing that the use of a government vehicle would increase protection of official passenger. (note b)
		"Beginning March 1, 1985 four com- manders are being provided home-to- work transportation because they are the Vice Presidents' National Narcotics Border Interdiction System (N.N.B.I.S) Coordinators." (Continued on next page)	

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
TRANSPORTATION, DEPARTMENT (CONTINUED)	O F		
District Commanders of Tw Coast Guard Districts (Continued)	elve	"The authority is 13 U.S.C. 1344: Use of Government vehicles for home-to-work transportation on a temporary basis to protect officials from a clear and present danger to their physical safety."	
		"Comptroller General decisions on 31 U.S.C. 1344: 54 Comptroller General 855 and 62 Comptroller General 438 have been applied to four District Commanders."	
Administrator of Federal Aviation Administration	3 to 4 times per month	"In conjunction with official business, the usage was largely air-port-to-home when returning from official travel. This use was on a non-routine basis and no chauffeur service was provided."	authorized if provided in accordance with Federal Travel
TREASURY, DEPARIMENT OF			
Former Secretary	Daily 1/1/85 to 2/2/85	"Title 31 U.S.C. Section 1344"	Authorized - Cabinet Department head

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
TREASURY, DEPARTMENT OF (CONTINUED)			
Wife of Former Secretary	Official functions	"Hosting official functions for the Secretary."	Not authorized. Spouses are not themselves considered official representatives of the United States. Transportation of spouse not authorized when attending official function alone. (note a)
Former Deputy Secretary	Daily 1/1/85 to 2/2/85	"Treasury Directive 70-01.J, Home-to-Work use of Official Vehicles. Treasury Directive 70-01.C, Use of Government Vehicles Assigned to the Office of the Secretary."	Not authorized. Deputy Secretary is not authorized home-to-work transportation.
Former Under Secretary for Monetary Affairs	4 times per month 1/1/85 to 3/17/85	"Treasury Directive 70-01.J, Home-to-Work use of Official Vehicles. Treasury Directive 70-01.C, Use of Government Vehicles Assigned to the Office of the Secretary."	Not authorized. Under Secretary is not authorized home-to-work transportation. Under statue 31 U.S.C. § 1344.
Secretary	Daily	"Title 31 U.S.C. Section 1344"	Authorized - Cabinet Department head
Wife of Secretary	6 times since 2/4/85	"Hosting official functions for the Secretary."	Not authorized. Transportation of spouse not authorized when attending official function alone. (note a) Spouses are not themselves considered representatives of the United States.

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
TREASURY, DEPARTMENT OF (CONTINUED)			
Deputy Secretary	Daily 2/4/85 to 3/15/85	"Treasury Directive 70-01.J, Home-to-Work Use of Official Vehicles. Treasury Directive 70-01.C, Use of Government Vehicles Assigned to the Office of the Secretary."	Not authorized. Deputy Secretary is not authorized home-to-work transportation. Under statute 31 U.S.C. § 1344.
Associate Director (Law Enforcement), Bureau of Alcohol, Tobacco and Firearms	40 times per year	"Treasury Directive 70-01.J, 2/22/85 and individual written authorization signed by Bureau Director."	Not authorized. Associate Director is not authorized home-to-work transportation. Under statute 31 U.S.C. § 1344.
Regional Commissioner, Customs Service	Daily	TD 70, 2/22/85 Title 31, U.S.C., Sections 1344 and 1349.	Not authorized. Department explanation does not over-come statutory prohibition. (note f)
Regional Commissioner, Customs Service	Daily	TD 70, 2/22/85 Title, 31 U.S.C., Sections 1344 and 1349.	Not authorized. Department explanation does not over-come statutory prohibition. (note f)
Regional Commissioner, Customs Service	Daily	TD 70, 2/22/85 Title 31, U.S.C., Sections 1344 and 1349.	Not authorized. Department explanation does not over- come statutory prohibition. (note f)
Regional Commissioner, Customs Service	12 times per month	TD 70, 2/22/85 Title 31, U.S.C., Sections 1344 and 1349.	Not authorized. Department explanation does not over-come statutory prohibition. (note f)

Official/relative receiving transportation TREASURY, DEPARTMENT OF	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
(CONTINUED)			
Director, Secret Service	Daily	"This individual is the highest official of the Secret Service. His duties include the guidance of all activities of this bureau and require that he attend various meetings, conferences, and functions as the official representative of the Secret Service. In addition, his duties include the response to various protective sites throughout the Washington area to perform random short notice inspections at odd hours and to direct the bureau's response to major emergencies. Travel to a variety of locations in the metropolitan area to official government functions held during other than regular working hours also requires the exercise of home-to-work driving authority."	
		authority.	

40

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
TREASURY, DEPARTMENT OF (CONTINUED)			
Deputy Director, Secret Service	Daily	"This individual is one of the highest officials of the Secret Service. His duties include the guidance of all activities of this bureau and require that he attend various meetings, conferences, and functions as the official representative of the Secret Service. In addition, his duties include the response to various protective sites throughout the Washington area to perform random short notice inspections at odd hours and to direct the bureau's response to major emergencies. Travel to a variety of locations in the metropolitan area to official government functions held during other than regular working hours also requires the exercise of home—to—work driving authority."	

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
TREASURY, DEPARTMENT OF (CONTINUED)			
Special Assistant to the Secretary Secret Service	Daily	"The Special Assistant to the Secretary uses a vehicle for hometo-work transportation to perform his assigned duties. This person needs to be fully informed of critical situations for response to them. In addition, he must respond to various sites around Washington, D.C., during non-duty hours and perform random, short notice inspection tours of protective activities. The availability of a vehicle, with communications equipment satisfies this need.	Not authorized. Emergency response capability is not sufficient justification to overcome statutory prohibition. (note f)
		As Physical Security Contact Officer for the Office of Enforcement and Operations this person must be able to respond to security breaches and violations within Main Treasury on 24-hour basis. In addition, this individual is a participant in various emergency plant.	

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire GAO comments to agency's response
TREASURY, DEPARTMENT OF (CONTINUED)		
Assistant Director, Office of Protective Operations, Secret Service	Daily	"This official supervises the Office of Protective Operations. This office is responsible for the actual performance of the protective mission. In the event of any incidents relating to protectees, this office plans and directs our emergency response. The Assistant Director (Protective Operations) is required to be available to direct and coordinate, from the crisis center, the mobilization of additional personnel and equipment that is necessary for the use of the specific protective division involved with the emergency. Outside of normal working hours the Assistant Director of Protective Operations visits various functions and activities where protectees are involved to inspect, review and observe protective operations. This technique insures compliance with operational guidelines for the proper utilization of personnel and equipment.
		The duties of this official include frequent contact with government officials and private individuals to coordinate activities and keep abreast of developments that impact the Office of Protective Operations."

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire GA	O comments to agency's response
TREASURY, DEPARTMENT OF (CONTINUED)			
Assistant Director, Office of Investigations, Secret Service	Daily	"The Office of Investigations is responsible for overall direction of the investigative mission of the Secret Service. The Assistant Director of Investigations has emergency plan assignments requiring his response in the event of a national emergency. The Office of Investigations is responsible for coordination of all nationwide criminal investigations, and all Special Agents involved in protective intelligence investigations report to the Office of Investigations. This Office is also responsible for coordinating the assignments of field personnel to details that are formed to respond to suddenly developing protective requirements. Immediate response to these short notice requirements is essential. Due to the critic nature of these activities, the use of vehicle with communications between he and work is essential.	al a
		The performance of these duties requir a variety of contacts with government officials and private individuals. The contacts may occur outside of regular business hours."	

APPENDIX II

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire GAO	comments to agency's response
TREASURY, DEPARTMENT OF (CONTINUED)			
Assistant Director, Office of Protective Research, Secret Service	Daily	"The Office of Protective Research provides intelligence and technical support for the protective mission. Though the Assistant Director normally works regular business hours, he is required to immediately respond to incidents whenever they occur and he is responsible for the monitoring and control of all Secret Service investigations involving threats against the President and others protected by the Secret Service. During an emergency, close coordination by this individual with the Office of Protective Operations is required to facilitate effective interpretation and use of intelligence. Liaison by this official with officials of other state and local law enforcement and intelligence agencies and with private industry to keep abreast of technical development on a 24-hour basis necessitates the use of an official vehicle between home and and work."	come statutory prohibition. (note f)

Official/relative receiving transportation

Frequency

Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire

GAO comments to agency's response

TREASURY, DEPARTMENT OF (CONTINUED)

Assistant Director, Office of Administration, Secret Service Daily

"The Assistant Director for Administration has the responsibility for the Continuity of Government Programs for the Secret Service. This assignment requires that the incumbent be available to respond to predesignated locations and to perform specific functions on very short notice in case of national emergency. Not authorized. The convenience of the official passenger or emergency response capability are not sufficient justifications to overcome statutory prohibition. (note f)

In addition, the Assistant Director for Administration must be available to respond at all hours of the day or night to carry out various emergency plan assignments. Included within these assignments are the direct management and oversight responsibilities for the emergency expenditure of funds for acquisition of critically needed supplies and services; and the overall responsibility to authorize emergency needs of the Service's protective operations.

Due to the critical nature of these activities, the use of a vehicle with communications equipment is essential between home and work."

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire GAO comments to agency's resp	onse
TREASURY, DEPARTMENT OF (CONTINUED)		도 하는 것 같다. 현실 등에 가는 사람들은 보고 하는 것이 되는 것이 되었다. 그는 것이 되었다. 	
Assistant Director, Office of Inspection, Secret Service	35 trips	"The duties of the Office of Inspection require that this individual be available for emergency response to supervise special investigations and inspections and other investigative and protective assignments. The individual also has a role in a sensitive compartmented program that would require emergency response with a radio equipped vehicle. This official is frequently required to attend meetings and conferences as the representative of the Secret Service during nonbusiness hours to facilitate the conduct of official business."	ass- onse ici- ver-

APPENDIX II

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire	GAO comments to agency's response
TREASURY, DEPARTMENT OF (CONTINUED)			
Assistant to the Director, Office of Training, Secret Service	40 trips	"The duties of this official require frequent contacts with other government officials and private individuals in the fields of law enforcement and education. These contacts are made in many different locations in the Washington metropolitan area. He is also responsible for activities at three separate locations, two in Washington and the other in Beltsville. He is responsible for providing readiness training to dignitary protective details upon mobilization, and to the permanent protective details before foreign trips and other trips of special concern. These duties require that he be able to travel to various locations at the beginning and end of the workday or during non-duty hours. This official is also required to be available for assignment during protective and investigative emergencies."	capability are not suffici-

APPENDIX I

Official/relative receiving transportation	Frequency	Authority/circumstances/remarks as taken directly from the agency response to GAO's questionnaire GAO	comments to agency's response
TREASURY, DEPARTMENT OF (CONTINUED)			
Assistant to the Director, Office of Public Affairs, Secret Service	31 trips	"This person responds to frequent after hours emergency situations arising from short notice protective and investigative requirements. He also responds to the national and local media on newsworthy issues. He frequently reports directly to the scene to gather information and be available to the media. This type of immediate response is crucial to avoid erroneous information about the safety of the President and others that we protect from reaching the the public. His duties require frequent contact with a variety of government and media personnel throughout the metropolitan area during other than regular working hours. These duties require that he be able to travel to various locations at the beginning and end of the work day or during non-duty hours."	Not authorized. Convenience to the official passenger and emergency response capability are not sufficient justifications to overcome statutory prohibition. (note f)
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FOOTNOTES TO APPENDIX II

- a/Spouses of government employees may be transported in government vehicles only when: (1) the spouse is accompanying an official whose transportation is itself authorized to or from his home and an official function and his or her transportation does not result in additional expense to the government, (2) the spouse of an official entitled to routine home-to-work transportation is being transported to or from an official or quasi-official function and the spouse's presence at the function is in the government's interest and circumstances make it awkward or impossible for the official to accompany the spouse enroute, or (3) government transportation is necessary for security reasons in accordance with the criteria of footnote a. See B-210555.9, June 28, 1984. Spouses are not themselves considered representatives of the United States, except overseas, and cannot "represent" the Government official at functions he or she does not attend.
- b/The provision of home-to-work transportation to government employees is permissible for security reasons when: (1) there is a clear and present danger of violent criminal activity directed at the employee in question, (2) there is a showing that the provision of transportation in a Government car would provide protection not otherwise available, and (3) the decision to provide home-to-work transportation is made with circumspection and is not based on speculative or remote fears of criminal activity. See 54 Comptroller General 855 (1975); B-210555.3, February 7, 1984.
- C/Home-to-work transportation pursuant to the "field work" exception of 31 U.S.C. § 1344(a) is permissible when the employee's work includes a large proportion of time "on the road" away from an office or other headquarters, travelling from place to place; e.g., investigators, collectors, etc. See B-212512, March 16, 1984.
- d/The transportation of employees in travel status is governed by the Federal Travel Regulations and is not restricted by 31 U.S.C. § 1344. See B-210555.3, February 7, 1984; B-210555.5, December 8, 1983.
- Persons "acting" in the position of an official entitled to routine home-to-work transportation are not themselves entitled to home-to-work transportation for that reason. The privilege of home-to-work transportation is applicable only to persons officially occupying the positions excepted in 31 U.S.C. § 1344(b).

f/The fact that government home-to-work transportation may result in increased efficiency or convenience is not sufficient to overcome the plain statutory prohibition of 31 U.S.C. § 1344. In 62 Comptroller General 440, 447 we held that, "unless certain narrow exceptions apply, agencies may not properly exercise administrative discretion to provide home-to-work transportation for their officers and employees." See also B-210555.3, February 7, 1984.

(210555)

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